#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Pandey et al.

Serial No.: 10/607.922

Confirm No. 8140

Customer No. 24961

Filed: June 27, 2003

For: Fluorinated Photosensitizers Related

to Chlorins and Bacteriochlorins for

Photodynamic Therapy

Art Unit: 1626

Examiner: Unassigned

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith are an Information Disclosure Statement, Forms PTO-1449 (27 pages) and cited references for filing in connection with the above-identified application.

The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,

HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:

Dale L. Rieger

Registration No. 43,045

Date: October 2, 2003 Attorney Docket No. 25886-0094

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# INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98

Commissioner for Patents, U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is filed prior to receipt of a First Office Action on the Merits of the above-captioned application. Thus, a fee for filling this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. The Forms PTO-1449 (27 pages) and copies of the cited references are provided herewith.

#### U.S.S.N. 10/607,922 Pandey et al. Information Disclosure Statement

The documents cited on the Forms PTO-1449 and supplied herewith are in the English language except for Items HR, HS, HT, HU, HV, and HW. Items HR, HS, HT, HV, and HW (Japanese Patent Documents No. JP2001335578, JP2002020389, JP2002325853, JP4218002, and JP6105921, respectively) are in the Japanese language and are supplied with English language equivalents (Items HK, HN, HO, HG, and GU, respectively). Item HU (Japanese Patent Document No. JP2003146989) is in the Japanese language and is supplied with an English language Derwent abstract (Item JW). Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Office the following U.S. and international applications, which are commonly owned and/or have one or more inventors in common with the instant application.

U.S.S.N.	Filing Date	Publication No. or Issue No.	Publication or Issue Date	Docket No.
10/384,895	03/07/03			0044RI
10/385,378	03/07/03			0044BRI
09/592,150	06/12/00	6,624,187	09/23/03	0049
09/801,163	03/07/01			0050
10/351,730	01/23/03	2003-0167033	09/04/03	0052
10/211,784	08/01/02	2002-0198576	12/26/02	0054C
09/271,575	03/18/99	6,602,274	08/05/03	0055
09/905,501	07/13/01	2002-0087205	07/04/02	0055B
10/317,269	12/10/02	2003-0109813	06/12/03	0055C
10/410,700	04/08/03			0055D
09/905,777	07/13/01	2002-0127230	09/12/02	0057

U.S.S.N. 10/607,922 Pandey et al. Information Disclosure Statement

09/905,405	07/13/01	2003-0018371	01/23/03	0060
09/386,692	08/31/99	2003-0114434	06/19/03	0061
	01/12/01			0062
09/760,362	03/04/02			0066B
10/091,144				0095
10/613,474	07/02/03			CHEN0079
09/150,821	09/11/98		02/13/03	CHEN0145
10/021,891	12/12/01	2003-0030342	02/13/03	1011210110

Int'l App. No.	Filing Date	Publication No.	Publication Date	Docket No.
PCT/US03/02303	01/23/03			0052PC
PCT/US03/02303	06/27/03			0094PC
PCT/US03/20427	07/02/03			0095PC
	05/10/03			CHEN0188
03010382.4	11/25/02	W003052793	06/26/03	CHEN0187
PCT/US02/28149	11/25/02	11000002700		

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filling of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

U.S.S.N. 10/607,922 Pandey et al. Information Disclosure Statement

Applicant respectfully requests that the Examiner review the foregoing references and information and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,

HELLER EHRMAN WHITE & MCAULIFFE LLP

By: \_\_\_\_\_\_ Dale L. Rieger

Registration No. 43,045

Date: October 2, 2003

Attorney Docket No. 25886-0094
Address all correspondence to:

Stephanie L. Seidman, Esq. HELLER EHRMAN WHITE & MCAULIFFE LLP 4350 La Jolla Village Drive, 7th Floor

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# FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.	SERIAL NO.	CONFIRM NO.
25886-0094	10/607,222	8140

APPLICANT CUSTOMER NO. 24961

FILING DATE GROUP
June 27, 2003 1626

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code		D	CUM	ENT N	IUMBI	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	А	3	7	1	0	7	9	5	01/16/73	Higuchi et al.	128	260	09/29/70
	В	3	8	1	7	8	3	7	06/18/74	Rubenstein et al.	195	103.5R	05/14/71
	С	3	9	2	7	1	9	3	12/16/75	Hansen et al.	424	1	05/18/73
	D	4	0	4	4	1	2	6	08/23/77	Cook et al.	424	243	07/09/76
	Е	4	3	2	8	2	4	5	05/04/82	Yu et al.	424	305	02/13/81
	F	4	3	3	1	6	4	7	05/25/82	Goldenberg	424	1	03/03/80
	G.	4	3	4	8	3	7	6	09/07/82	Goldenberg	424	1	03/03/80
	н	4	3	5	8	6	0	3	11/09/82	Yu	560	2	04/16/81
	- 1	4	3	6	1	5	4	4	11/30/82	Goldenberg	424	1	03/03/80
	J	4	3	6	4	9	2	3	12/21/82	Cook et al.	424	46	04/30/81
	к	4	3	7	4	9	2	5	02/22/83	Litman et al.	435	7	02/09/81
	L	4	4	0	9	2	3	9	10/11/83	Yu	424	305	01/21/82
	М	4	4	1	0	5	4	5	10/18/83	Yu et al.	424	305	05/10/82
	N	4	4	1	4	2	0	9	11/08/83	Cook et al.	424	243	06/13/77
	0	4	4	4	4	7	4	4	04/24/84	Goldenberg	424	1.1	09/03/82
	Р	4	4	6	8	4	5	7	08/28/84	Goldenberg et al.	435	69	06/01/81
	a	4	4	7	4	8	9	3	10/02/84	Reading	436	547	07/01/81
-	R	4	4	7	9	8	9	5	10/30/84	Auditore-Hargreaves	260	112B	05/05/82
	s	4	5	2	2	8	1	1	06/11/85	Eppstein et al.	514	2	07/08/82
	т	4	6	2	4	8	4	6	11/25/86	Goldenberg	424	1.1	07/24/84
	U	4	6	4	9	1	5	1	03/10/87	Dougherty et al.	514	410	05/14/84

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>\*</sup> If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited by or interest profile an application that is identified in the statement and relied upon for an earlier filling date under 35 U.S.C. 120. 37 C.F.R. 9, 1.984

# FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT STATEMENT EILING DAT

SERIAL NO. 10/607,222

CONFIRM NO. 8140

Pandey *et al.* 24961

FILING DATE GROUP
June 27, 2003 1626

CUSTOMER NO

#### U.S. PATENT DOCUMENTS

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EXAMINER INITIAL	*Ref. Code		D	ocun	IENT I	NUMB	ER		DATE NAME	NAME	CLASS	SUB CLASS	FILING DATE
	V	4	8	1	8	7	0	9	04/04/89	Primus et al.	436	518	01/12/8
	w	4	8	6	6	1	6	8	09/12/89	Dougherty et al.	540	145	07/24/8
	×	4	8	8	9	1	2	9	12/26/89	Dougherty et al.	128	664	09/08/8
	Y	4	9	1	6	2	2	1	04/10/90	Kumadaki et al.	540	145	11/04/8
	z	4	9	3	2	9	3	4	06/12/90	Dougherty et al.	604	21	08/24/8
	AA	4	9	4	6	7	7	8	08/07/90	Ladner et al.	435	69.6	01/19/8
	AB	4	9	6	8	7	1	5	11/06/90	Dougherty et al.	514	410	04/26/8
	AC	5	0	0	2	9	6	2	03/26/91	Pandey et al.	514	410	07/20/8
	AD	5	0	1	5	4	6	3	05/14/91	Dougherty et al.	424	7.1	04/26/9
	AE	5	0	2	8	6	2	1	07/02/91	Dougherty et al.	514	410	05/16/8
- "	AF	5	0	3	3	2	5	2	07/23/91	Carter	53	425	07/30/9
	AG	5	0	5	2	5	5	8	10/01/91	Carter	206	439	07/27/9
	АН	5	0	5	9	4	1_	5	10/22/91	Neuwelt	424	9	02/21/8
	Al	5	0	6	2	4	3	1	11/05/91	Potter	128	665	03/30/9
	AJ	5	0	6	6	2	9	1	11/19/91	Stewart	606	3	04/25/9
	AK	5	0	7	4	6	3	2	12/24/91	Potter	385	31	03/07/9
	AL	5	0	9	3	3	4	9	03/03/92	Pandey et al.	514	410	10/15/9
	AM	5	1	1	1	8	2	1	05/12/92	Potter	128	654	11/08/8
	AN	5	1	4	5	8	6	3	09/08/92	Dougherty et al.	514	410	12/04/9
	AO	5	1	7	1	7	4	1	12/15/92	Dougherty	514	185	04/21/8
	AP	5	1	7	3	5	0	4	12/22/92	Dougherty	514	410	01/03/9
	ΔQ	5	1	9	0	5	3	6	03/02/93	Wood et al.	606	16	02/19/9

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#### FORM PTO-1449

### LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 25886-0094	SERIAL NO. 10/607,222						
APPLICANT	CUSTOMER N	CUSTOMER NO.					
Pandey et al.	24961	24961					
FILING DATE	GROUP						
June 27, 2003	1626						

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### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code		DC	CUM	NT N	UMBE	R		DATE	NAME	CLASS	SUB CLASS	FILING DATE
INITIAL	AR	5	1	9	0	9	6	6	03/02/93	Dougherty et al.	514	410	03/02/93
	AS	5	1	9	8	4	6	0	03/30/93	Pandey et al.	514	410	01/17/92
	AT	5	2	0	5	2	9	1	04/27/93	Potter	128	654	10/07/91
	AU	5	2	1	9	3	4	5	06/15/93	Potter	606	15	03/30/90
	AV	5	2	2	2	7	9	5	06/29/93	Hed	362	32	12/26/91
	AW	5	2	2	5	4	3	3	07/06/93	Dougherty et al.	514	410	07/02/91
	AX	5	2	5	7	9	7	0	11/02/93	Dougherty	604	20	04/09/92
	AY	5	2	6	3	9	2	5	11/23/93	Gilmore Jr. et al.	604	4	07/22/91
	AZ	5	3	1	4	9	0	5	05/24/94	Pandey et al.	514	410	11/09/92
	BA	5	3	2	3	9	0	7	06/28/94	Kalvelage	206	531	03/15/93
	BB	5	3	4	4	9	2	8	09/06/94	Masuya et al.	544	37	04/14/92
	BC	5	4	0	3	3	0	8	04/04/95	Wood et al.	606	17	11/24/9
	BD	5	4	1	8	1	3	0	05/23/95	Platz et al.	435	2	07/13/9
	BE	5	4	5	9	1	5	9	10/17/95	Pandey et al.	514	410	05/05/9
	BF	5	4	8	2	6	9	8	01/09/96	Griffiths	424	141	04/22/9
	BG	5	4	9	6	3	0	8	03/05/96	Brown et al.	606	15	12/27/9
	BH	5	4	9	8	7	1	10	03/12/96	Pandey et al.	540	145	04/22/9
	BI	5	5	0	3	6	3	7	04/02/96	Kyricos et al.	607	88	10/28/9
	BJ	5	5	0	6	2	5	5	04/09/96	Smith et al.	514	410	12/01/9
	BK	5	5	2	5	3	3	8	06/11/96	Goldenberg	424	178.1	08/21/9
	BL	5	5	3	2	1	7	1	07/02/96	Motsenbocker	436	533	05/23/9
	BM	5	5	7	1	1	5	2	11/05/96	Chen et al.	607	92	05/26/9

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# FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR

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ATTY. DOCKET NO.	SERIAL NO.	CONFIRM NO.				
25886-0094	10/607,222	8140				
APPLICANT	CUSTOMER NO.					
Pandey et al.	24961					
FILING DATE June 27, 2003	GROUP 1626					

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#### U.S. PATENT DOCUMENTS

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EXAMINER INITIAL	*Ref. Code		Di	осим	ENT N	IUMBI	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	BN	5	5	8	0	8	9	6	12/03/96	Horwell et al.	514	419	05/22/95
	во	5	5	9	1	8	4	7	01/07/97	Pandey et al.	540	472	05/23/94
	BP	5	5	9	9	9	2	3	02/04/97	Sessier et al.	540	145	02/15/94
	BQ	5	6	2	2	9	8	3	04/22/97	Horwell et al.	514	419	05/22/9
	BR	5	6	2	4	7	9	8	04/29/97	Yamamoto et al.	435	6	12/21/93
	BS	5	6	3	1	2	8	1	05/20/97	Horwell et al.	514	419	04/28/94
	вт	5	6	4	8	4	8	5	07/15/97	Dolphin et al.	540	474	10/26/9
	BU	5	6	6	5	3	2	8	09/09/97	Horan et al.	424	1.17	12/01/9
	вv	5	6	7	1	3	1	7	09/23/97	Weishaupt et al.	385	137	07/16/9
	BW	5	6	8	8	4	8	6	11/18/97	Watson et al.	424	1.65	10/31/9
	вх	5	6	9	7	9	0	2	12/16/97	Goldenberg	604	49	06/01/9
	BY	5	6	9	8	4	0	5	12/16/97	Goldenberg	435	7.5	06/01/9
	BZ	5	7	0	2	4	3	2	12/30/97	Chen et al.	607	88	10/03/9
	CA	5	7	0	3	2	3	0	12/30/97	Boyle et al.	540	145	12/02/9
	СВ	5	7	0	9	8	7	4	01/20/98	Hanson et al.	424	423	06/03/9
	СС	5	7	1	5	8	3	7	02/10/98	Chen	128	899	08/29/9
	CD	5	7	1	6	5	9	5	02/10/98	Goldenberg	414	1.49	08/22/9
	CE	5	7	4	1	3	1	6	04/21/98	Chen et al.	607	61	12/02/9
	CF	5	7	5	9	5	4	2	06/02/98	Gurewich	424	94.64	08/05/9
	CG	5	7	6	6	2	3	4	06/16/98	Chen et al.	607	92	04/16/9
	СН	5	7	7	0	7	3	0	06/23/98	Pandey et al.	540	472	03/08/9
	CI	5	7	7	3	9	7	7	06/30/98	Dougherty	324	429	04/18/9

#### **EXAMINER**

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

		Sheet 5 of 27	
ATTY. DOCKET NO. 25886-0094	SERIAL NO. CONFIRM N 10/607,222 8140		
APPLICANT Pandey et al.	10.		
FILING DATE June 27, 2003	GROUP 1626		
	25886-0094  APPLICANT Pandey et al.  FILING DATE	25886-0094 10/607,222  APPLICANT CUSTOMER N Pandey et al. 24961  FILING DATE GROUP	

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#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code		DC	CUM	ENT N	UMBE	R		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	CJ	5	7	7	6	0	9	3	07/07/98	Goldenberg	604	20	04/07/92
	СК	5	5 7 7 6 0 9 4		07/07/98	Goldenberg	604	20	06/01/95				
	CL	5	7	7	6	0	9	5	07/07/98	Goldenberg	604	20	06/01/95
	СМ	5	7	8	2	8	9	6	07/21/98	Chen et al.	607	88	01/29/97
	CN	5	8	0	0	4	7	8	09/01/98	Chen et al.	607	88	03/07/96
	со	5	8	1	4	0	0	8	09/29/98	Chen et al.	604	21	07/29/96
	СР	5	8	2	7	1	8	6	10/27/98	Chen et al.	600	407	04/11/97
	ca	5	8	3	1	0	8	8	11/03/98	Dolphin et al.	540	474	05/08/97
	CR	5	8	4	0	6	7	4	11/24/98	Yatvin et al.	514	2	08/01/96
	cs	5	8	6	0	9	5	7	01/19/99	Jacobsen et al.	604	156	02/07/97
	СТ	5	8	6	4	0	3	5	01/26/99	Pandey et al.	540	472	03/06/97
	cu	5	8	6	5	8	4	0	02/02/99	Chen	607	92	10/22/97
	cv	5	8	7	6	4	2	7	03/02/99	Chen et al.	607	88	01/29/97
	cw	5	8	8	6	1	7	3	03/23/99	Hemmi et al.	540	472	07/30/97
	cx	5	9	0	0	2	5	2	05/04/99	Calanchi et al.	424	459	11/06/92
	CY	5	9	2	1	2	4	4	07/13/99	Chen et al.	128	897	06/11/97
	CZ	5	9	4	5	7	6	2	08/31/99	Chen et al.	310	171	02/10/98
	DA	5	9	4	8	4	3	3	09/07/99	Burton et al.	424	448	08/21/97
	DB	5	9	5	2	3	6	6	09/14/99	Pandey et al.	514	410	06/22/98
	DC	5	9	5	7	9	6	0	09/28/99	Chen et al.	607	92	05/05/97
	DD	5	9	7	2	3	6	6	10/26/99	Haynes et al.	424	422	09/17/96
	DE	5	9	8	3	1	3	4	11/09/99	Ostrow	604	20	06/12/98

#### EXAMINER

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FORM PTO-1449	ATTY. DOCKET NO.	SERIAL NO.	CONFIRM NO.
	25886-0094	10/607,222	8140
LIST OF PATENTS AND PUBLICATIONS FOR	APPLICANT	CUSTOMER I	NO.
APPLICANT'S INFORMATION DISCLOSURE	Pandey et al.	24961	
STATEMENT	FILING DATE	GROUP	1531
	June 27, 2003	1626	1541
			36

<sup>\*</sup> If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited by persummed on a prior application that is identified in the statement and relied upon for an earlier filling date under 35 U.S.C. 120. 37 CFT \$ \$\frac{100}{200}\$ \$\frac{100}{20

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code	DOCUMENT NUMBER		DATE	NAME	CLASS	SU <b>SS</b> CLA	FILING DATE						
	DF	5	9	8	5	3	0	7	11/16/99	Hanson et al.	424	423	12/02/97	
	DG	5 9 8 5 3 1		7	11/16/99 Venkateshwaran et al.		424	449	09/06/96					
	DH	5	9	9	7	5	6	9	12/07/99	Chen et al.	607	88	01/29/97	
	DI	5	9	9	7	8	4	2	12/07/99	Chen	424	1.29	04/13/98	
	DJ	6	0	0	4	5	3	4	12/21/99	Langer et al.	424	9.321	04/18/97	
	DK	6	0	1	0	7	1	5	01/04/00	Wick et al.	424	448	10/21/97	
	DL	6	0	1	5	8	9	7	01/18/00	Theodore et al.	540	474	05/13/96	
	DM	6	0	2	2	9	6	1	02/08/00	Yamamoto et al.	536	24.3	01/13/97	
	DN	6	0	2	4	9	7	5	02/15/00	D'Angelo et al.	424	449	11/12/96	
	DO	6	0	2	8	0	9	9	02/22/00	de Juan, Jr.	514	434	03/13/98	
	DP	6	0	3	9	9	7	5	03/21/00	Shah et al.	424	473	09/20/96	
	DQ	6	0	4	8	7	3	6	04/11/00	Kosak	436	536	12/30/98	
	DR	6	0	5	1	2	0	7	04/18/00	Klaveness et al.	424	9.1	04/28/99	
	DS	6	0	5	1	7	0	2	04/18/00	Bird et al.	540	122	12/03/97	
	DT	6	0	6	0	0	8	2	05/09/00	Chen et al.	424	450	04/18/97	
	DU	6	0	7	1	4	9	5	06/06/00	Unger et al.	424	9.51	10/02/97	
	DV	6	0	8	0	1	6	0	06/27/00	Chen et al.	606	72	12/04/96	
	DW	6	0	8	4	7	1	7	07/04/00	Wood et al.	359	629	08/03/99	
	DX	6	0	9	2	5	3	1	07/25/00	Chen et al.	128	899	06/03/99	
	DY	6	0	9	6	0	6	6	08/01/00	Chen et al.	607	88	09/11/98	
	DZ	6	0	9	6	2	8	9	08/01/00	Goldenberg	424	1.49	02/10/98	
	EA	6	1	0	0	8	9	3	08/08/00	Ensz et al.	345	420	05/23/97	

EXAMINER

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## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

FORM PTO-1449

ATTY. DOCKET NO.	SERIAL NO.	CONFIRM NO.
25886-0094	10/607,222	8140
APPLICANT	CUSTOMER N	10.

24961 Pandey et al. FILING DATE GROUP 1626 June 27, 2003

\* If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited by or submitted \* If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously state of the reference of the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. § 198(d). 헔

## U.S. PATENT DOCUMENTS

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EXAMINER INITIAL	*Ref. Code		DC	CUM	ENT N	UMBE	R		DATE	NAME	CLASS	SUB CLASS	FEBRG DEE
	EB	6	1	0	3	7	5	1	08/15/00	Pandey et al.	514	410	06/22/98
	EC	6	1	2	0	7	5	1	09/19/00	Unger	424	9.51	09/08/97
	ED	6	1	2	3	9	3 4 2		09/26/00	Unger et al.	424	9.52	12/18/97
	EE	6	1	2	4	3			09/26/00	Okamoto et al.	514	432	06/18/97
	EF	6	1	3	1	5			10/17/00	Schuster et al.	128	203.26	06/30/98
	EG	6	1	3	8	6	8	1	10/31/00	Chen et al.	128	899	10/13/97
	EH	6	<del>^                                     </del>				6	5	10/31/00	Friend et al.	424	441	10/01/97
	EI	6	1	5	6	5	0	6	12/05/00	Yamamoto et al.	435	6	04/01/97
	EJ	6	1	6	2	2	2 1 3		12/19/00	Stewart	606	10	01/07/94
	EK	6	1	6	7	3	0	1	12/26/00	Flower et al.	604	20	08/29/95
	EL	6	1	7	6	8	4	2	01/23/01 B1	Tachibana et al.	604	22	09/21/98
	EM	6	2	1	0	4	2	5	04/03/01 B1	Chen	607	88	07/08/99
	EN	6	2	1	7	8	6	9	04/17/01 B1	Meyer et al.	424	178.1	09/05/97
	EO	6	2	3	2	2	9	5	05/15/01 B1	Kayyem et al.	514	44	10/12/94
	EP	6	2	3	8	4	2	6	05/29/01 B1	Chen	607	88	07/19/99
	EΩ	6	2	4	2	4	7 7		06/05/01 B1	Okamoto et al.	514	432	06/30/99
	ER	6	2	5	3	8	7	2	07/03/01 B1	Neumann	181	210	11/24/98
	ES	6	2	5	6	5	3	3	07/03/01 B1	Yuzhakov et al.	604	21	06/09/99

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#### **FORM PTO-1449** 25 ΔP LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY, DOCKET NO.	SERIAL NO.	CONFIRM NO.
25886-0094	10/607,222	8140
APPLICANT	CUSTOMER N	10.

24961 GROUP

Pandey et al. FILING DATE June 27, 2003 1626

### U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS												<u> </u>	
EXAMINER INITIAL	*Ref. Code		DC	CUM	ENT N	IUMBE	R		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	ET	6	2	6	1	5	9 5		07/17/01 B1	Stanley et al.	424	449	02/29/00
	EU	6	2	6	4	9	1	4	07/24/01 B1	Klaveness et al.	424	1.65	04/28/99
	EV	6	2	6	7	9	8	3	07/31/01 B1	Fujii et al.	424	448	08/11/99
	EW	6	2	6	8	1	2	0	07/31/01 B1	Platz et al.	435	2	10/19/99
	EX	6	2	7	1	3	5	9	08/07/01 B1	Norris et al.	536	23.1	04/14/99
	EY	6	2	7	3	9	0	4	08/14/01 B1	Chen et al.	607	88	03/02/99
	EZ	6	2	7	4	5	5	2	08/14/01 B1	Tamarkin et al.	514	12	11/10/97
	FA	6	2	8	1	6	1	1	08/28/01 B1	Chen et al.	310	171	04/11/00
	FB	6	3	0	7	1	4	7	10/23/01 B1	Bird et al.	136	263	04/18/00
	FC	6	3	1	6	6	5	2	11/13/01 B1	Steliou	556	42	06/06/95
	FD	6	3	1	9	2	7	3	11/20/01 B1	Chen et al.	607	88	12/16/99
	FE	6	3	1	9	4	8	8	11/20/01 B1	Licha et al.	424	9.6	04/09/98
	FF	6	3	3	1	1	7	5	12/18/01 B1	Goldenberg	604	522	07/06/98
	FG	6	3	3	1	7	4	4	12/18/01 B1	Chen et al.	310	171	04/11/00
	FH	6	3	4	4	٥	5	0	02/05/02 B1	Chen	607	88	12/21/98
	FI	6	3	5	0	4	3	1	02/26/02 B1	Snow et al.	424	9.6	10/28/99

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#### **FORM PTO-1449**

#### LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 25886-0094 SERIAL NO. 10/607,222 8140

 APPLICANT Pandey et al.
 CUSTOMER NO. 24961

 FILING DATE
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June 27, 2003 1626

\*If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously clied by Designation (C) provided provided by Designation (C) provided because the reference was previously clied by Designation (C) provided because the reference was previously clied by Designation (C) provided because the reference was previously clied by Designation (C) provided because the reference was previously clied by Designation (C) provided because the reference was previously clied by Designation (C) provided because the reference was previously clied by Designation (C) provided because the reference was previously clied by Designation (C) provided because the reference was previously clied by Designation (C) provided because the reference was previously clied by Designation (C) provided because the reference was previously clied by Designation (C) provided because the reference was previously clied by Designation (C) provided because the reference was previously clied by Designation (C) provided because the reference was previously clied by Designation (C) provided because the reference was previously clied by Designation (C) provided because the reference was previously clied by Designation (C) provided because the reference was previously clied by Designation (C) provided by D

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	FJ	6	3	8	7	3	5	٥	05/14/02 B2	Goldenberg	424	1.57	07/08/99
	FK	6	4 0 6 2 9 7		7	06/18/02 B1	Raymond et al.	434	15	02/18/00			
	FL	6	4	1	6	5	3	1	07/09/02 B2	Chen	607	B9	06/24/98
	FM	6	4	8	2	5	1	7	11/19/02 B1	Anderson	428	402.24	08/16/00
	FN	6	4	8	9	3	1	4	12/03/02 B1	Ashley et al.	514	183	04/03/01
	FO	6	4	9	5	5	8	5	12/17/02 B2	Belinier et al.	514	410	03/07/01
	FP	6 4 9 B 9 4 5		5	12/24/02 B1	Alfheim et al.	600	407	11/08/99				
	FQ	6	5	0	0	8	1	6	12/31/02 B1	Ekimoto et al.	514	185	03/12/01
	FR	6	5	1	1	9	7	1	01/28/03 B1	Gorun	514	183	10/12/99
	FS	6	5	1	4	9	9	5	02/04/03 B1	Zaleski et al.	514	332	09/25/01
	FT	6	5	1	5	1	1	3	02/04/03 B2	Raymond et al.	534	15	02/18/00
	FU	6	5	2	0	6	6	9	02/18/03 B1	Chen et al.	362	545	06/19/00
	FV	6	5	2	4	5	5	2	02/25/03 B2	Klaveness et al.	424	1.85	02/20/01
	FW	6	5	2	2 5 0 8		8	02/25/03	Nagano et al.	514	452	01/03/01	
	FX	6	5	2	7	7	5	9	03/04/03 B1	Tachibana et al.	604	500	07/20/00
	FY	6	5	3	4	0	4	0	03/1B/03 B2	Pandey et al.	424	362	12/1B/00

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#### CONFIRM NO. SERIAL NO. ATTY. DOCKET NO. FORM PTO-1449 10/607,222 8140 25886-0094 CUSTOMER NO APPLICANT LIST OF PATENTS AND PUBLICATIONS FOR 24961 Pandev et al. APPLICANT'S INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE 1626 June 27, 2003

<sup>\*</sup> If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited \$727 subgritted. The PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.R. \$3.984

U.S.	PATENT	DOCUMENTS

EXAMINER INITIAL	*Ref. Code	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
	FZ	6	5	4	0	9	8 0		04/01/03 81	Slumenthal et al.	424	9.34	03/31/00
	GA	6	5	5	4	8	5	3	04/29/03 82	Chen	607	88	07/20/01
	GB	6	5	5	9	3	7	4	05/06/03 B2	Lindsey et al.	136	263	05/10/01
	GC	6	5	6	6	5	1	7	05/20/03 B2	Miura et al.	540	145	06/06/01
	GD	6	5	6	9	8	4	6	05/27/03 B1	Scherz et al.	514	185	09/18/01
	GE	6	5	7	2	8	3	9	06/03/03 82	Sugita et al.	424	9.5	03/09/01
	GF	6	5	8	0	2	2	8	06/17/03 81	Chen et al.	315	185R	08/22/00
	GG	6	6	0	2	2	7	4	08/05/03 81	Chen	607	88	03/18/99
	GH	R	Ε	2	8	8	1	9	05/18/76	Thompson	424	243	04/16/75

#### FOREIGN PATENT DOCUMENTS

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EXAMINER INITIAL	*Ref. Code	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SU8 CLASS	Trans Yes	No No
	GI	0	0	1	5	2	9	6	03/23/00	PCT A1				
	GJ	0	0	3	6	9	8	3	06/29/00	PCT A1				
	GK	0	0	4	1	7	2	5	07/20/00	PCT A2				
	GL	0	0	4	1	7	2	6	07/20/00	PCT A3				
	GM	0	0	4	1	7	2	7	07/20/00	PCT A1				
	GN	0	0	4	1	7	6	8	07/20/00	PCT A1				

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## FORM PTO-1449

#### LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 25886-0094	SERIAL NO. 10/607,222	CONFIRM NO. 8140
APPLICANT Pandey et al.	CUSTOMER N 24961	NO.
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1626

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June 27, 2003

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	GP	0	1	0	5	3	1	6	01/25/01	PCT A1			TER 1600/2900	0 3 20
	GΩ	0	1	1	5	6	9	4	03/08/01	PCT A1			g	2003
	GR	0	1	2	0	0	5	4	03/10/84	EP 81			83	نــــــــــــــــــــــــــــــــــــــ
	GS	0	1	4	3	8	2	5	06/21/01	PCT A1			8	
	GT	0	1	5	1	0	8	7	07/19/01	PCT A2				
	GU	0	1	6	1	6	0	6	11/21/85	EP B1				
	GV		1	7	8	2	1	6	10/18/01	PCT A1				
	GW	0	1	7	8	4	5	8	10/18/01	PCT A1				
	GX	0	1	9	8	7	0	8	12/27/01	PCT A1				
	GY	0	2	1	7	6	9	0	02/28/02	PCT A1				
	GZ	0	2	4	3	9	2	9	11/04/87	EP B1		l		
	HA	0	3	0	2	9	4	94	04/10/03	PCT A1				
	НВ	0	3	0	5	2	7	93	06/26/03	PCT A2				
	HC	0	3	0	5	6	4	07	07/10/03	PCT A2				
	HD	0	3	0	6	1	6	96	07/31/03	PCT A2				
	HE	0	4	2	3	1	9	5	04/24/91	EP B1				
	HF	0	4	2	5	5	6	6	05/08/91	EP B1				
	HG	0	4	5	0	1	4	9	10/09/91	EP B1				
	нн	0	4	6	8	9	9	7	02/05/92	EP B1				
	н	0	5	1	0	0	0	7	10/28/92	EP B1				
	HJ	-	6	8	2	9	5	6	11/22/95	EP B1				

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FORM PTO-1449	ATTY. DOCKET NO. 25886-0094	SERIAL NO 10/607,22	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Pandey et al.	CUSTOME 24961	
STATEMENT	FILING DATE	GROUP	

ATTY, DOCKET NO. 25886-0094	10/607,222 8140					
APPLICANT Pandey <i>et al</i> .	CUSTOMER N 24961	10.				
FILING DATE June 27, 2003	GROUP 1626					

<sup>\*</sup> If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. § 1.98(d).

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code		D	осим	IENT I	NUMBI	ER		DATE	COUNTRY	CLASS	SUB CLASS	Trans Yes	slation No
	нк	1	1	1	0	9	6	3	06/27/01	EP A2				
	HL	1	1	3	1	1	0	0	09/12/01	EP B1				
	нм	1	1	4	6	0	4	6	10/17/01	EP A2				
	HN	1	1	6	4	1	3	6	12/19/01	EP A1				
	но	1	2	3	8	6	6	6	09/11/02	EP A2				
	HP	1	2	5	6	5	8	6	11/13/02	EP A1				
	НΦ	1	3	3	4	7	4	8	08/13/03	EP A1				
	HR	20	01	3	3	5	5	78	04/12/01	JP				X +
	HS	20	02	0	2	0	3	89	01/23/02	JP				X +
	HT	20	02	3	2	5	8	53	11/12/02	JP				X +
	HU	20	03	1	4	6	9	89	05/21/03	JP				х•
	HV	4	2	1	8	0	0	2	07/07/92	JP				X +
	нw	6	1	0	5	9	2	1	04/19/94	JP				X +
	нх	8	4	0	1	3	8	2	04/12/84	PCT A1				
	HY	9	0	0	0	3	9	2	01/25/90	PCT A1				
	HZ	9	0	0	0	8	9	5	02/08/90	PCT A1				
	IA	9	0	1	2	5	7	3	11/01/90	PCT A1				
	IB	9	1	1	0	4	7	4	07/25/91	PCT A1				
	IC	9	3	1	3	7	6	9	07/22/93	PCT A1				
	ID	9	4	0	9	8	5	1	05/11/94	PCT A1				
	IE	9	5	0	5	2	1	4	02/23/95	PCT A1				
	IF	9	5	3	2	2	0	6	11/30/95	PCT A1				

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FORM PTO-1449	ATTY. DOCKET NO.	SERIAL NO.	CONFIRM NO.	
	25886-0094	10/607,222	8140	
LIST OF PATENTS AND PUBLICATIONS FOR	APPLICANT	CUSTOMER N	10.	
APPLICANT'S INFORMATION DISCLOSURE	Pandey et al.	24961		
STATEMENT	FILING DATE June 27, 2003	GROUP 1626		

<sup>\*</sup> If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filling date under 35 U.S.C. 120. 37 C.F.R. § 1,99(d).

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EXAMINER INITIAL	*Ref. Code		D	осим	ENT N	IUMBI	R		DATE	COUNTRY	CLASS	SUB	은 Trans	FFI C
	IG	9	6	3	7	2	5	5	11/28/96	PCT A1				H
	IH	9	7	3	2	5	2	0	09/12/97	PCT A1			0062/0091 BELL	
	11	9	7	3	2	8	8	5	09/12/97	PCT A1			1600/2	<u> </u>
	IJ	9	8	0	4	3	1	7	02/05/98	PCT A1			8	O
-	ΙK	9	8	0	6	4	5	6	02/19/98	PCT A1				
	IL	9	8	0	8	5	6	5	03/05/98	PCT A1				
	IM	9	8	1	4	2	4	3	04/09/98	PCT A1				
	IN	9	8	2	4	3	7	1	06/11/98	PCT A1				
	10	9	8	2	4	5	1	0	06/11/98	PCT A1				
	IP	9	8	3	2	4	9	1	07/30/98	PCT A1				
	IQ	9	8	3	2	4	9	2	07/30/98	PCT A1				
	IR	9	8	3	2	4	9	3	07/30/98	PCT A1				
	IS	9	8	4	6	1	3	0	10/22/98	PCT A1				
	IT	9	8	5	0	0	3	4	11/12/98	PCT A1				
	IU	9	8	5	6	3	0	2	12/17/98	PCT A1				
	IV	9	9	1	8	8	7	9	04/22/99	PCT A1				
	IW	9	9	2	0	3	4	6	04/29/99	PCT A1				
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Title: FLUORINATED PHOTOSENSITIZERS RELATED TO CHLORINS AND BACTERIOCHLORINS

#### FORM PTO-1449 ATTY. DOCKET NO. SERIAL NO. CONFIRM NO. 25886-0094 10/607,222 8140 APPLICANT CUSTOMER NO. LIST OF PATENTS AND PUBLICATIONS FOR Pandey et al. 24961 APPLICANT'S INFORMATION DISCLOSURE STATEMENT FILING DATE GROUP June 27, 2003 1626

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	THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
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JV.	includes highly stable and potent photosensitizers for
JV	Derwent Abstract Accession No. 9432597, for Japanese Patent Application JP 2003146989 published 05/21/2003, entitled "Pyropheophorbides and their use in abstraction among the face of the control of th
J	Dimitroff et al., "Anti-angiogenic activity of selected receptor tyrosine kinase inhibitors, PD166285 and PD173074; implications for combination treatment with photodynamic hydron," (nyestiastional New Drugs, 12:121-135 (1999)
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KA	Dougherty TJ, "Transannular peroxides as radiation sensitizers", Radiat Res., 55(17:101-108 (1973)
 КВ	Dougherty TJ, "A brief history of clinical photodynamic therapy development at Roswell Park Cancer Institute", J Clin Laser Med Surg. 14(5):219-221 (1996)
 кс	Dougherty TJ, "Use of hematoporphyrin in photodynamic therapy", J Photochem
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KE	Dougherty TJ, "Activated dyes as antitumor agents", J Natl Cancer Inst. 52(4), 1333-
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 KI	Dougherty TJ, "Photoradiation therapy for cutaneous and subcutaneous malignancies", 5
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 -	December 1. "Photogradiation therapy", Urology, 23(3 Suppl):61-64 (1984)
 KK	Dougherty TJ, "Photosensitization of malignant tumors", Seminars in Surgical Uncology
 KN	Dougherty TJ, "Variability in hematoporphyrin derivative preparations", Cancer Res.
 KN	therapy for brenchogenic cancer", Chest, 81(3):265-266
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C	THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
ко	Dougherty TJ, "Photodynamic therapynew approaches", Seminars in Surgical Option 5:6-16 (1989)
KP	Dougherty TJ, "Hematoporphyrin as a photosensitizer of tumors", Photochem Photobiolis 38(3):377-379 (1983)
κα	Dougherty TJ, "Photodynamic therapy", Adv Exp Med Biol., 193:313-328 (1985)
KR	Dougherty TJ, "Photodynamic therapy", Clinics in Chest Medicine, 6(2):219-236 (1985)
KS	Dougherty TJ, "An update on photodynamic therapy applications", J Clin Leser Med Surg. 20(1):3-7 (2002)
 кт	Dougherty TJ, "Studies on the structure of porphyrins contained in Photofrin II" Photochem Photobiol., 46(5):569-573 (1987)
κυ	Dougherty et al., "Energetics and efficiency of photoinactivation of murine tumor cells containing hematoporphyrin", Cancer Research 36:2330-2333 (1976)
ΚV	Dougherty et al., "Photoradiation therapy, II. Cure of animal tumors with hematoporphyrin and light", Journal of the National Cancer Institute, <u>55(1)</u> :115-121 (1975)
ĸw	Dougherty et al., "Photoradiation therapy for the treatment of malignant tumors", Cancer Res. 38(8):2628-2635 (1978)
кх	Dougherty et al., "Photodynamic Therapy," Journal of the National Cancer Institute, 90(12):889-905 (1998)
KY	Dougherty TJ, "Hematoporphyrin derivative for detection and treatment of cancer", J Surg Oncol. 15(3):209-210 (1980)
KZ	Dougherty et al., "Photoradiation therapyclinical and drug advances", Adv Exp Med Biol. 160:3-13 (1983)
LA	Dougherty et al., "Photoradiation in the treatment of recurrent breast carcinoma", J Natl Cancer Inst., 62(2):231-237 (1979)
LB	Dougherty et al., "Cutaneous phototoxic occurrences in patients receiving Photofrin", Lasers Surg Med. 10(5):485-488 (1990)
LC	Dougherty et al., "Interstitial photoradiation therapy for primary solid tumors in pet cats and dogs", Cancer Res. 41(2):401-404 (1981)

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LD	Dougherty, "Photodynamic therapy in gestrointestinal cancer", Lasers in Surgery Medicine 12:114 (1992)  Dougherty et al., "Characterization of intra-tumoral porphyrin following injection of Surgery and Photobiology, Photochemistry and Photobiology,
LE	hematoporphyrin derivative of its parises
LF	Dougherty et al., "The role of the peripheral benzodiazepine receptor in photodynamic activity of certain pyropheophorbide ether photosensitizers: albumin site II as a surrogate marker for activity", Photochem Photobiol., 76(1):91-97 (2002)
LG	Dougherty TJ, "An overview of the status of photoradiation therapy", <i>Prog Clin Biol Nes</i> .
LH	Bhotodynamic therapy", Eur J Cancer. 28A(10):1734-1742 (1992)
LI	Dougherty et al., "The structure of the active component of hematoporphyrin derivative", Prog Clin Biol Res., 170:301-314 (1984)
LJ	Dougherty et al., "Of what value is a highly absorbing photosensitizer in PDT?" J Photochem Photobial B., 8(2):223-225 (1991)
LK	Douglass et al., "Intra-abdominal applications of hematoporphyrin photoradiation therapy" Adv Exp Med Biol., 160:15-21 (1983)
LL	Farrell et al., "A diffusion theory model of spatially resolved, steady-state diffuse reflectance for the noninvasive determination of tissue optical properties in vivo", Med. Rep. 19(4):879-888 (1992)
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LQ	Glennie et al., "Preparation and Performance of Bispecific F(ab'/) <sub>2</sub> Antibody Containing Thiosphery linked Fab'y Fragments", J. Immunol., 139:2367-2375 (1987)
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LS	Graham et al., "Structure-activity relationship of new octaethylporphyrin-baseu benzochlorins as photosensitizers for photodynamic therapy", Photochem Photobiol. 37(EVBG.1866 (2003)
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NA	Mettath et al., "Effect of substituents in directing the formation of benzochlorins addisobacteriochlorins in porphyrin and chlorin systems", Organic Letters 1(12):1961-1964 (1999)
NB	Milstein et al., "Hybrid hybridomas and the production of bi-specific fill instances," Immunol. Today 5:299-305 (1984)
NC	Moesta et al., "Protoporphyrin IX occurs naturally in colorectal cancers and their
ND	Morgan et al., "Comparison of photodynamic targets in a carcinoma cell life and its mitochondrial DNA-deficient derivative", Photochemistry and Photobiology, 71(6):747-372, (2006).
NE	Morrison and Boyd, Organic Chemistry, (5th Ed., 1987), Chapter 13, entitled "Aromaticity," pages 477-497
NF	Moskal et al., "Operation and photodynamic therapy for pleural mesothelioma: 6-year
NG	Nambisan et al., "Intraoperative photodynamic therapy for retroperitoneal saccomas",
NH	Niedre et el., "Direct Near-infrared Luminescence Detection of Singlet Oxygen Garandos by Photodynamic Therapy in Cell In Vitro and Tissues In Vivo", Photochemistry and Ottochiology, 75(4):382-391 (2002)
NI	Nogrady, Medicinal Chemistry A Biochemical Approach, Oxford University Press, New
NJ	North et al. "Viral Inactivation in Blood and Red Cell Concentrates with Benzoporphyrin
NH	Nseyo et al., "Study of factors mediating effect of photodynamic therapy of bladder in series bladder model". <i>Urglogy</i> , 32(1):41-45 (1988)
N	Nseyo et al., "Whole bladder photodynamic therapy for transitional cell carcinoma of
NI	the management of resistant lower urnary tract

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STATEMENT	FILING DATE June 27, 2003	GROUP 1626	

<sup>\*</sup> If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filling date under 35 U.S.C. 120. 37 C.F.R. § 1.98(d).

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

NN	Nseyo et al., "Photodynamic therapy (PDT) in the treatment of patients with resistant superficial bladder cancer: a long-term experience", Journal of Clinical Laser Medicine Surgery, 16(1):61-68 (1998)
NO	Nseyo et al., "Dihematoporphyrin ether clearance in primate bladders", The Journal of Urology, 136:1363-1366 (1986)
NP	Nseyo et al., "Experimental photodynamic treatment of canine bladder", J Urol., 133(2):311-315 (1985)
NΩ	Paajanen <i>et al.</i> , "Proton Relaxation Enhancement of Albumin, Immunoglobulin G, and Fibrinogen Labeled with Gd-DTPA", <i>Magn. Reson. Med</i> <u>13</u> : 38-43 (1990)
NR	Pandey et al., "Synthesis and photosensitizing activity of a di-porphyrin ether", Chemical Abstracts, 109:320 (1988)
NS	Pandey et al., "Synthesis, photophysical properties, in vivo photosensitizing efficacy, and human serum albumin binding properties of some novel bacteriochlorins", J. Med. Chem. 40(11): 2770-2779 (1997)
NT	Pandey et al., "Chlorin and porphyrin derivatives as potential photosensitizers in photodynamic therapy", Photochemistry and Photobiology 53(1):65-72 (1991)
NU	Pandey et al. (1999)
NV	Pandey et al., "Syntheses and photosensitizing activity of porphyrins joined with ester linkages", Cancer Research 49:2042-2047 (1989)
NW	Pandey et al., "Evaluation of new benzoporphyrin derivatives with enhanced PDT efficacy", Photochemistry and Photobiology 62(4):764-768 (1995)
NX	Pandey et al., "Alkyl ether analogs of chlorophyll-a derivatives: Part 1. Synthesis, photophysical properties and photodynamic efficacy", Photochemistry and Photobiology 64(1):194-204 (1996)
NY	Pandey et al., "Porphyrin dimers as photosensitizers in photodynamic therapy", J. Med. Chem. 33:2032-2038 (1990)
NZ	Pandey et al., "Fast atom bombardment mass spectral analyses of Photofrin II and its synthetic analogs", Biomedical and Environmental Mass Spectrometry 19:405-414 (1990)

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			Sheet 24 of 2
FORM PTO-1449	ATTY. DOCKET NO. 25886-0094	SERIAL NO. 10/607,222	CONFIRM NO. 8140
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Pandey et al.	CUSTOMER I	NO.
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0	THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
OA	Pandey et al., "Comparative in vivo sensitizing efficacy of porphyrin and chlorin dispre- joined with ester, ether, carbon-carbon or amide bonds" Journal of Molecular Recognition 9:118-122 (1996)
ОВ	Pierce Chemical Co. catalog, pages 0-90 to 0-110 (1995, Pierce Chemical Co., 374 ) Meridian Rd., Rockford III., 61105, U.S.A.),
ос	Polin, R.A. "Monoclonal Antibodies Against Microorganisms", Eur. J. Clin. Microbiol., 3(5): 387-398 (1984)
OD	Potter et al., "The theory of photodynamic therapy dosimetry: consequences of photodestruction of sensitizer", Photochemistry and Photobiology 46(1):97-101 (1987)
OE	Potter et al., "Photofrin II levels by in vivo fluorescence photometry", Prog Clin Biol Res. 170:177-186 (1984)
OF	Potter et al., "Parabolic quantitative structure-activity relationships and photodynamic therapy: application of a three-compartment model with clearance to the <i>in vivo</i> quantitative structure-activity relationships of a congeneric series of pyropheophorbide derivatives used as photosensitizers for photodynamic therapy", <i>Photochemistry and Photobiology</i> 70(5):781-788 (1999)
	Prakash, G.K.S. and A.K. Yudin, "Perfluoralkylation with Organosilicon Reagents", <i>Chem Rev.</i> , 97:757-786 (1997)
OG	Pykett, "NMR Imaging in Medicine", Scientific American 246: 78 (1982)
ОН	Rakestraw, et al., "Antibody-targeted photolysis: In vitro studies with Sn(IV) chlorin e6 covalently bound to monoclonal antibodies using a modified dextran carrier", Proc. Nad. Acad. Sci. USA 82: 4217-4221 (1990)
OI	Ris et al., "Absence of rhodamine 123-photochemotoxicity in human tumor xenografts", Lasers Surg Med. 13(1):40-44 (1993)
on	Roy et al., "Ceramic-Based Nanoparticles Entrapping Water-Insoluble Photosensitizing Anticancer Drugs: A Novel Drug-Carrier System for Photodynamic Therapy", J Am Chem Soc. 125(26):7860-7865 (2003)
ОК	Runfola et al., "Photodynamic therapy for residual neoplasms of the perianal skin", <i>Dis Colon Rectum.</i> 43(4):499-502 (2000)

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			Sheet 25 of 27
FORM PTO-1449	ATTY. DOCKET NO. 25886-0094	SERIAL NO. 10/607,222	CONFIRM NO. 8140
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE			NO.
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0	THER ART (Including Author, Title, Date, Pertinent Pages, Etc.) 🕏 🥫
OL	Runge et al., "Paramagnetic Agents for Contrast-Enhanced NMR Imaging: A RedW Am. J. Radiol. 141: 1209 (1983)
ОМ	THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)  Runge et al., "Paramagnetic Agents for Contrast-Enhanced NMR Imaging: A Recommodified in the Including Am. J. Radiol. 141: 1209 (1983)  Rungta et al., "Purpurinimides as photosensitizers: effect of the presence and positive of the substituents in the in vivo photodynamic efficacy", Bioorg Med Chem Lett. 10(13):1463-1466 (2000)
ON	Schuh et al., "Photodynamic therapy for palliation of locally recurrent breast carcinoma", Journal of Clinical Oncology 5(11):1766-1770 (1987)
00	Senge et al., ""Comparative Analysis of the Conformations of Symmetrically and Asymmetrically Deca- and Undecasubstituted Porphyrins Bearing Meso-Alkyl or -Aryl Groups", Inorg. Chem., 3 <u>6</u> :1149-1163 (1997)
OP	Sery et al., "Photoradiation of rabbit ocular malignant melanoma sensitized with hematoporphyrin derivative", Curr Eye Res. 3(4):519-528 (1984)
00	Sharman et al., "Photodynamic therapeutics: basic principles and clinical applications", Curr. Trends Drug Discovery Today 4, 507 (1999)
OR	Siegel et al., "Comparative mass spectrometric analyses of Photofrin oligomers by fast atom bombardment mass spectrometry, UV and IR matrix-assisted laser desorption/ionization mass spectrometry, electrospray ionization mass spectrometry and laser desorption/jet-cooling photoionization mass spectrometry", J Mass Spectrom. 34(6):661-669 (1999)
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OU	Smith et al., "Passive immunization of mice against Schistosoma mansoni with an IgM monoclonal antibody", Parasitology 84: 83-91 (1982)
ov	Smith, et al., "Meso Substitution of Chlorophyll Derivatives: Direct Route for Transformation of Bacteriopheophorbides d into Bacteriopheophorbides c", J. Am. Chem. Soc. 107: 4946-4954 (1985)
ow	Svaasand et al., "Temperature rise during photoradiation therapy of malignant tumors", Med Phys. 10(1):10-17 (1983)

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(	оx	THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)  Takita et al., "Intracavitary photodynamic therapy for malignant pleural mesothelioma Semin Surg Oncol. 11:368-371 (1995)  Takita et al., "Progration and intracavitary photodynamic therapy for malignant pleural			
	ΟY	Takita et al., "Operation and intracavitary photodynamic therapy for malignant pleural			

	OT	HER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
0		HER ART (Including Author, Title, Date, Pertinent Pages, Etc.)  Takita et al., "Intracavitary photodynamic therapy for malignant pleural mesothelioms "Semin Surg Oncol. 11:368-371 (1995)  Takita et al., "Inparation and intracavitary photodynamic therapy for malignant pleural
0	PΥ	mesothelioma; a phase II study", Ann Thorac Surg. 58(4):995-998 (1994)
C	οz	Tsuchida et al., "Correlation between site II-specific human serum albumin (HSA) binding affinity and murine in vivo photosensitizing efficacy of some Photofrin components", Photochemistry and Photobiology 66(2):224-228 (1997)
	PA	Umemura et al., Ultrasonics Sonochemistry 3: S187-S191 (1996)
	DR.	Valenzo et al. eds. (1991)
	PC	Van Lier, J.E. "Photosensitization: Reaction Pathways", <i>Photobiological Techniques</i> <u>216</u> : 85-98 (1991)
	PD	Vincent et al., "Photoradiation therapy in advanced carcinoma of the trachea and bronchus", Chest, 85(1):29-33 (1984)
	PE	Vincent et al., "Hematoporphyrin derivative in the diagnosis and treatment of lung cancer", Adv Exp Med Biol. 160:41-46 (1983)
	PF	Waldow et al., "Interaction of hyperthermia and photoradiation therapy" Radiat Res. 97(2):380-385 (1984)
	PG	Waldow et al., "Potentiation of photodynamic therapy by heat: effect of sequence and time interval between treatments in vivo", Lasers Surg Med. 5(2):83-94 (1985)
	РН	Waldow et al., "Enhanced tumor control following sequential treatments of photodynamic therapy(PDT) and localized microwave hyperthermia in vivo", Lasers Surg Med. 4(1):79-85 (1984)
	PI	Waldow et al., "Hyperthermic potentiation of photodynamic therapy employing Photofrin I and II: comparison of results using three animal tumor models", Lasers Surg Med. 7(1):12-22 (1987)
	PJ	Weishaupt et al., "Identification of singlet oxygen as the cytotoxic agent in photoinactivation of a murine tumor", Cancer Res., 36(7 PT 1):2326-2329 (1976)
	PK	Wilson et al., "The physics of photodynamic therapy," Phys. Med. Biol., 31(4):327-360 (1986)

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Zheng et al., "Wittig reactions on photoprotoporphyrin IX: new synthetic models for the

special pair of the photosynthetic reaction center", J Org Chem. <u>65(2)</u>:543-557 (2000)
Zodda et al., "Monoclonal Antibody-Mediated Protection against Schistosoma mansoni

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Title: FLUORINATED PHOTOSENSITIZERS RELATED TO CHLORINS AND BACTERIOCHLORINS FOR PHOTODYNAMIC THERAPY

Infection in Mice, J. Immunol. 129: 2326-2328 (1982)

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